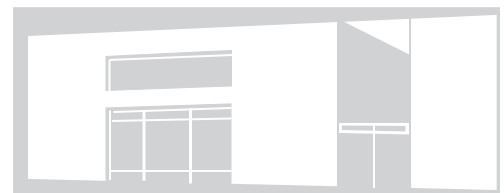




UNIVERSITY OF TENNESSEE  
*L*IBRARIES

**BUDGET PROPOSAL**

**FY04**



# UNIVERSITY OF TENNESSEE LIBRARIES

## BUDGET REQUEST NARRATIVE FY04

### Introduction

The University Libraries plays such a fundamental role in the seven priority areas of the strategic plan and the University scorecard that this narrative can only skim the surface of the Libraries' impact and importance. The research library of the 21<sup>st</sup> century is emerging as an integral part of the teaching, learning, and research process while, at the same time, retaining its role as the collective repository of scholarly and creative works in perpetuity. Library faculty are heavily invested in ensuring that all students leave the University of Tennessee with the ability to live and work effectively in an information-abundant society and that faculty have the intellectual laboratory and tools to create new knowledge for the public good. As the largest publicly supported library and government document depository in Tennessee we play a legally mandated and important leadership role in statewide access to information in all of its manifestations. This document outlines four priorities the library must pursue to support the University of Tennessee and beyond—The Digital Library, The Teaching and Learning Library, Outstanding Faculty and Staff, and Research Collections and Access to Scholarship. Although these priorities are placed in order as requested, they are not mutually exclusive categories but intertwined and interdependent. Each area represents a fundamental library effort, and thus the four are difficult to prioritize.

### Strengths

- Nationally known for innovation in information technologies, collaborative facilities, and digital library development
- Faculty well integrated in the teaching and learning environment
- Collections and access to scholarship leading towards excellence
- Strong service philosophy
- A proven history of collaboration in advancing programs on and off campus

### The Digital Library

The University of Tennessee is building the digital library of the future now. Our digital library vision includes the integration of library resources and services, library and campus scholarly resources, and library/campus and other universities' resources. Our digital library is young, but has proven itself early in its development. Building on success, we have made substantial investments in creating an infrastructure for the University of Tennessee Digital Library, including a new library system and implementation of digital initiatives with campus partners. The next phase is for the digital library to be less project oriented and more fully integrated into the daily operations of the library. The digital library is building a track record of delivering unique campus scholarly resources and attracting external funding to offset some of the costs for digital conversions. We must continue the reallocation of staff to this priority. Currently, we have the vacant lines to support the digital library, but funds are needed to support our day-to-day operating expenses.

**Request:** \$275,000 in additional operating funds to enable filling existing vacant lines for: 1 FTE faculty; 3 half-time graduate assistants; 1 FTE exempt staff; 1 FTE support staff; recurring technology training funds for ongoing training.

RECURRING	NON RECURRING
\$275,000	

**University Priorities:** The Digital Library advances the research mission of the University through dissemination of research outcomes, through research about digital publishing and access, and by generating additional research dollars. Engagement is addressed through partnerships with the broader community such as the Tennessee Documentary History Project’s collaboration with the McClung Historical Collection of the Knox County Public Library and the State Library and Archives, and the Southeastern Native American Documents project with the University of Georgia. Both projects promote the K-16 educational use of Tennessee historical materials by making them available over the Web. The Digital Library is assuming a larger role in scholarly publishing and has the potential for disseminating UT scholarly output to local and global communities with a robust Scholar’s Archives. The Digital Library addresses global and cultural diversity by making available collections such as the Cherokee documents which reflect diverse topics as well as encouraging the use of these materials by multiple audiences on campus and in the community.

**Goals: The Digital Library**

Implement new library system

- Target: Implementation in the first quartile of FY04.

Expand and advance use of the Digital Library and begin planning for a comprehensive University of Tennessee Scholar’s Archives.

- Target: Develop versatile technical infrastructure to support digital collections and link them to other library collections and web resources. Be able to integrate at least some of the digital collections within digital library and new library system architecture by December 2003. Complete proposal for Archives by February 2004.

Expand and provide a digital presence for political papers collections in conjunction with Baker Center.

- Target: Process Thompson papers, work on web presence for Thompson and Baker. August 2003.

Continue to work with ORNL, Y12, and OSTI to develop greater access to scholarly resources.

- Target: Apply for grant to digitize Manhattan project materials with OSTI; encourage ORNL to digitize reports and technical documents.

**The Teaching and Learning Library**

Each University of Tennessee graduate should exhibit the characteristics of an information literate person who can determine the nature and extent of information needed, access needed information effectively and efficiently, evaluate information and its sources critically, and use information ethically and legally to accomplish a specific purpose. The University Libraries should continue to provide a welcoming environment for the intellectual, cultural, and social needs of all students and faculty at the University of Tennessee including promoting diversity in all regards.

	RECURRING	NON RECURRING
Digital Reference & Instruction Librarian	\$ 45,000	
Distance Education support staff (SUPPORT PREVIOUSLY PROVIDED BY EVENING SCHOOL)	\$ 20,000	
Student personnel (INCLUDES COMMITMENT FROM PROVOST TO OPEN PERIODICALS ROOM)	\$ 56,200	
Studio (NEXT GENERATION TECHNOLOGY)		\$ 50,000
Film/Video Deployment Equipment		\$ 55,000
Scanner for Maps/GIS		\$ 20,000
Refurbishing Hodges Library, PHASE TWO		\$150,000

**University Priorities:** The teaching and learning library supports undergraduate education and the importance of not only what, but how, students learn. This includes the ability, in the 21<sup>st</sup> century, to assimilate various media (print, electronic, visual) into a coherent and creative whole as well as to effectively use technology to enhance teaching and learning. The strong teaching and learning library contributes significantly to the recruitment, retention, and graduation of the best and brightest undergraduate students. The teaching and learning library supports graduate education in providing not only the resources necessary to compete for the best graduate students, but also the professional, nurturing environment necessary to prepare them for academic and professional careers. The teaching and learning library supports global and cultural diversity in all regards through outstanding service and a welcoming environment, free from cultural bias.

### **Goals: The Teaching and Learning Library**

Extend campus outreach and information initiatives.

- Target: Complete a series of specific activities intended to raise campus awareness and incorporation of information literacy into the curriculum including: create presentation on information literacy and visiting academic departments/groups to raise campus awareness; work with freshman composition instructors and the Thornton Center staff as pilot groups for “train the teacher/tutor” user education programs; update web-based tutorials used in English 102 to reflect new catalog, web evaluation techniques, and information literacy components; develop point of instruction module for faculty to integrate into Online@UT or other course web pages; and promote “Life of the Mind” freshman program.

Provide a welcoming and attractive environment for students, faculty, and staff.

- Target: Execute next phase of recarpeting, painting in Hodges Library.

Continue to improve service for all in the library.

- Target: Complete and disseminate a service philosophy; create a reference consultation area for one-on-one consultation and instruction; improve virtual reference service.

Advance multimedia lab capabilities and educational opportunities for students and faculty.

- Target: Update Studio technology and educational offerings in consultation with faculty from high use departments.

Advance campus-wide Geographic Information System support and improve access to Map collections.

- Target: Secure practical partnerships to provide a fuller set of campus GIS services and develop a plan for updating and improving access to Map materials.

## **Outstanding Faculty and Staff**

The recruitment and retention of outstanding faculty and staff is critical to the mission of the University of Tennessee. Last fall we estimated that \$90,517 would be needed to bring library faculty salaries in line with peers. This request was based on a study comparing average salaries for UTK instructional faculty and UTK library faculty to the average salaries of their national peer groups. Data was drawn from the *UTK Factbook*, the *Chronicle of Higher Education*, and the *ARL Libraries Annual Salary Survey*. While we expect that the gap has narrowed with recent salary increases, additional funding will still be needed to raise salaries overall and increase starting salaries for faculty. Staff who have been in a position for at least 5 years should earn at or near the midpoint of their pay grade. Currently, 32 of 40 (80%) in this category fall below the midpoint. After applying new salaries we calculate that it would take \$102,378 to achieve this goal. The Libraries also requests \$8,000 in recurring funds to support salary increases for the four non-exempt staff who will complete the Career Employee Program (CEP) in May 2004. Designed in partnership with the Office of Human Resources, the program is intended to serve as a model for the campus. CEP has been recognized as one of the best development programs by the Association of Research Libraries since it offers a process for recognizing and rewarding career staff for their continuing commitment to the Libraries and the University.

	RECURRING	NON RECURRING
Faculty salary program (WILL UPDATE FIGURE WHEN STATS BECOME AVAILABLE)	\$ 90,517	
Staff salary program	\$102,378	
Expand CEP program	\$ 8,000	
Minority Librarian Residency Program (PREVIOUS COMMITMENT FROM FY03)		\$ 40,000
Archivist (Thompson papers) (PREVIOUS COMMITMENT FROM FY03)		\$ 45,000
Student assistants (Thompson papers) (PREVIOUS COMMITMENT)		\$ 15,000

**University Priorities:** These personnel requests enhance all library programs and services for undergraduates, graduate students, faculty, and staff. The high quality of service delivered by the Libraries is a direct result of the excellence of the Libraries' faculty and staff. The Minority Librarian Residency Program addresses the national need for a more diverse workforce in research libraries. More competitive salaries provide a greater opportunity to diversify our workforce and hire the best and brightest, which, in turn, will help create a more welcoming environment for all.

### Goals: Outstanding Faculty and Staff

Raise minimum salary for beginning librarians and continue to address compression/compensation issues for faculty depending on campus salary program.

- Target: Raise minimum salary to \$40,000.

Continue to seek improvement in staff salaries.

- Target: Make progress towards compensating those who have been in a position for at least 5 years at or near their pay grade midpoint.

Increase faculty diversity for the University of Tennessee Libraries and for research librarianship.

- Target: Hire 2 Minority Librarian Residents for FY04.

Increase support of faculty research and creative activity.

- Target: Establish ongoing Faculty Research Incentive Fund from development funds.

Continue Career Employment Program and work towards its expansion to the campus

- Target: Ensure the four current participants complete the program and begin planning for the next class. Explore possibility of campus adoption of program.

Conduct an organizational/staffing review.

- Target: Complete review in FY04 including next steps in determining staffing needs for priority programs and initiatives.

## Research Collections and Access to Scholarship

Developing robust scholarly collections and new ways to access information resources is critical to the success of students and faculty. They must continue to have access to the latest scientific developments and new knowledge in all disciplines in order to learn and advance knowledge.

Although we are securing as many collections in digital form as possible, scholarship in core disciplines important to the University of Tennessee are still published in print. Adequate and environmentally sound space is needed to store both regular collections, and valuable special and manuscript collections. The new Howard Baker Center for Public Policy is proving to be a magnet for political papers, and we expect to greatly expand this collection requiring an increase in environmentally sound space for its long-term storage.

**Request:** \$621,000 in recurring funding is requested to address inflation in cost of library materials. Inflation is estimated at an average of 10%. This funding will keep the collection stable but does not address current needs already identified or needs generated by new faculty, new academic programs, or new graduate programs. Thus we are also requesting \$250,000 in non-recurring funding for new faculty start up and new programs.

**University Priorities:** Building research collections and access to worldwide scholarship is a fundamental requirement for teaching, learning, and research at a comprehensive university. Great libraries attract the best research faculty

and graduate students and provide the basic building blocks for first class research communities. An excellent state-of-the-art library (including a critical mass of electronic collections) is essential in recruiting top undergraduate students. Expansion of collections supports new and interdisciplinary research as it develops. A strong public-supported research library is an essential component for entrepreneurial activity on the campus and in the state.

	RECURRING	NON RECURRING
Collections	\$621,000	\$250,000
Compact Shelving/ Storage		\$750,000

### Goals: Research Collections and Access to Scholarship

Purchase, subscribe, or gain access to scholarly resources needed for UT teaching, research and service at a minimum level comparable to our peers.

- Target: Maintain current collection and meet selected needs for new faculty and programs in FY04.

Incorporate use data into decisions about retaining or canceling subscriptions for electronic resource.

- Target: Thoroughly evaluate large aggregations (Elsevier’s Freedom Collection, Kluwer, etc.) in terms of usage to determine the most cost effective approach for securing needed resources.

Provide adequate and environmentally safe storage and implement preservation actions based on the recent Collection Condition Survey and Hoskins facility environmental testing.

- Target: Secure library book storage space in environmentally safe area and secure sufficient shelving for at least near-term solution in FY04.

Increase the availability of information resources through collaboration with ORNL, TENN-SHARE, the Information Alliance and other UT libraries.

- Target: Increased collaboration in collection building and sharing is planned for FY04.

Improve scholarly communication and publishing options for the University.

- Target: Launch University-wide committee/task force with Office of the Provost to work on issues related to scholarly communication for the campus.

### Goals: Development

(SUPPORTS ALL PRIORITIES—SEE SCHEDULE 5 FOR SPECIFIC CAMPAIGN GOALS)

Prepare/implement library part of the University’s capital campaign.

- Target: Have campaign plan in place for library by beginning of FY04.

Create a board of visitors for the University Libraries.

- Target: Have board in place by fall 2003.

### Attachments

- Schedules
- Digital Library chart
- Selected Benchmarks (collection budget comparisons)
- Collection Expenditures by format
- Collection Expenditures by discipline
- Collection Endowment Expenditures
- Collections Wish List

## PROGRAM INITIATIVES/FUNDING PRIORITIES #1

FY 2004 BUDGET HEARING

College/Department: University Libraries

### INSTRUCTIONS

*Describe the College/Department's #1 priority and explain how it promotes the goals and objectives outlined in your budget narrative. On the attached Schedule 1-a, specify personnel, space and facility, equipment and technology needs related to this priority. Discuss opportunities for Internal reallocation and/or reorganization that will support and enhance this Initiative.*

### EXPLANATION

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#### The Digital Library

The University of Tennessee is building the digital library of the future now. Our digital library vision includes the integration of library resources and services, library and campus scholarly resources, and library/campus and other universities' resources. Our digital library is young, but has proven itself early in its development. Building on success, we have made substantial investments in creating an infrastructure for the University of Tennessee Digital Library, including a new library system and implementation of digital initiatives with campus partners. The next phase is for the digital library to be less project oriented and more fully integrated into the daily operations of the library. The digital library is building a track record of delivering unique campus scholarly resources and attracting external funding to offset some of the costs for digital conversions. We must continue the reallocation of staff to this priority. Currently, we have the vacant lines to support the digital library, but funds are needed to support our day-to-day operating expenses. Request: \$275,000 in additional operating funds to enable filling existing vacant lines for: 1 FTE faculty; 3 half-time graduate assistants; 1 FTE exempt staff; 1 FTE support staff; recurring technology training funds for ongoing training.

Reallocation Strategies: A number of existing faculty and staff lines were reallocated to support digital library initiatives including creation of a metadata librarian from a cataloging faculty line; a digital initiatives librarian position from a staff line; 1 FTE production staff from technical services production staff lines. The Digital Library Center committee is made up of existing faculty who devote part of their assignments to digital library efforts. The DLC has been seeded with one time funding from the PITRS grants but in addition brought in over \$500,000 in grant funding for digital projects including a new project to digitize historical TVA photographs owned by the McClung Museum. The Digital Library will continue to advance through partnerships on and off campus taking advantage of collections and expertise within and outside of the library. The UT Libraries also takes full advantage of the collective expertise of the Digital Library Federation whose members include the largest and most innovative research libraries in North America.

Schedule 1-a

**PROGRAM INITIATIVE/FUNDING PRIORITIES #1  
SUPPORTING INFORMATION  
FY 2004 BUDGET HEARING**

**College/Department: University Libraries**

**INSTRUCTIONS**

Specify personnel, space and facility, equipment, and technology needs related to Priority #1.

<b>NEED CATEGORY</b>	<b>ITEM NEEDED</b>	<b>E &amp; G FUNDED (Yes or No)</b>	<b>JUSTIFICATION</b>	<b>COST</b>	<b>RECURRING/ NON-RECURRING</b>
<b>Personnel</b>	1 FTE Faculty 3.5 FTE GA 1 FTE Staff Exempt 1 FTE Non-exempt Teaching Training	Yes	Additional operating funds to enable filling vacant lines	\$275,000	Recurring
<b>TOTALS</b>				<b>\$\$275,000</b>	

## PROGRAM INITIATIVES/FUNDING PRIORITIES #2

FY 2004 BUDGET HEARING

College/Department: University Libraries

### INSTRUCTIONS

*Describe the College/Department's #2 priority and explain how it promotes the goals and objectives outlined in your budget narrative. On the attached Schedule 2-a, specify personnel, space and facility, equipment and technology needs related to this priority. Discuss opportunities for Internal reallocation and/or reorganization that will support and enhance this Initiative.*

### EXPLANATION

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#### The Teaching and Learning Library

Each University of Tennessee graduate should exhibit the characteristics of an information literate person who can determine the nature and extent of information needed, access needed information effectively and efficiently, evaluate information and its sources critically and use information ethically, and legally to accomplish a specific purpose. The University Libraries should continue to provide a welcoming environment for the intellectual, cultural, and social needs of all students and faculty at the University of Tennessee including promoting diversity in all regards.

Reallocation Strategies: Library faculty and staff continually work to reallocate staff and resources to meet the changing needs of students and faculty in the teaching and learning environment. Some examples include deployment of current faculty and staff—support the virtual service environment, the AskUs.Now services, and new modes of CD-Rom/DVD-delivered and web-based instruction. Traditional positions such as the Maps Librarian have been transformed to include GIS (geographic information systems) programs and services. A Data Services Librarian was created from an existing reference librarian position. A Media Services Librarian was created from an existing staff line (also leads the Studio). Plans are underway to strengthen information literacy and outreach services with existing personnel. Collaboration with other campus units such as the Honors Program, Writing Program, Thornton Center, Disability Services, and others are underway. Imbedding library resources and services into course webpages has begun. All of these efforts embrace a campus-wide vision to take full advantage of existing personnel and resources.

Schedule 2-a

**PROGRAM INITIATIVE/FUNDING PRIORITIES #2  
SUPPORTING INFORMATION  
FY 2004 BUDGET HEARING**

**College/Department: University Libraries**

**INSTRUCTIONS**

Specify personnel, space and facility, equipment, and technology needs related to Priority #2.

NEED CATEGORY	ITEM NEEDED	E & G FUNDED (Yes or No)	JUSTIFICATION	COST	RECURRING/ NON-RECURRING
<b>Personnel</b>	1 FTE Faculty	Yes	Positions for Teaching/Learning Library	\$45,000	Recurring
	1 FTE Non-exempt staff	Yes		\$20,000	Recurring
	Student Library Assistants	Yes		\$56,000	Recurring
<b>Equipment/ Technology</b>	Studio Technology	Yes	Next generation technology	\$50,000	Non-recurring
	Film/Video Equipment	Yes	Upgrade deployment equipment	\$55,000	Non-recurring
	Scanner for maps	Yes	Enable high-level GIS	\$20,000	Non-recurring
<b>Facility</b>	Hodges Refurbishing	Yes	Phase II of improvements	\$150,000	Non-recurring
<b>TOTALS</b>				<b>\$396,200</b>	

## PROGRAM INITIATIVES/FUNDING PRIORITIES #3

FY 2004 BUDGET HEARING

College/Department: University Libraries

### INSTRUCTIONS

*Describe the College/Department's #3 priority and explain how it promotes the goals and objectives outlined in your budget narrative. On the attached Schedule 3-a, specify personnel, space and facility, equipment and technology needs related to this priority. Discuss opportunities for Internal reallocation and/or reorganization that will support and enhance this Initiative.*

### EXPLANATION

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#### Outstanding Faculty and Staff

The recruitment and retention of outstanding faculty and staff is critical to the mission of the University of Tennessee. Last fall we estimated that \$90,517 would be needed to bring library faculty salaries in line with peers. This request was based on a study comparing average salaries for UTK instructional faculty and UTK library faculty to the average salaries of their national peer groups. Data was drawn from the *UTK Factbook*, the *Chronicle of Higher Education*, and the *ARL Libraries Annual Salary Survey*. While we expect that the gap has narrowed with recent salary increases, additional funding will still be needed to raise salaries overall and increase starting salaries for faculty. Staff who have been in a position for at least 5 years should earn at or near the midpoint of their pay grade. Currently, 32 of 40 (80%) in this category fall below the midpoint. After applying new salaries we calculate that it would take \$102,378 to achieve this goal. The Libraries also requests \$8,000.00 in recurring funds to support salary increases for the four non-exempt staff who will complete the Career Employee Program (CEP) in May 2004. Designed in partnership with the Office of Human Resources, the program is intended to serve as a model for the campus. CEP has been recognized as one of the best development programs by the Association of Research Libraries since it offers a process for recognizing and rewarding career staff for their continuing commitment to the Libraries and the University.

Reallocation Strategies: The recent salary pool was used to increase entry-level salaries to address compression in the lower paid faculty ranks as well as address compression for all faculty below the team leader level. A modest sum of library operating funds was allocated to ensure that all support staff received a minimum 5% salary increase. Matching funds were committed by the library to fund the Minority Librarian Residency Program. At the recent faculty retreat, Building on Our Strengths, we began working on important staffing priorities to meet the needs of the campus and the library. Several factors including implementing a new library system, advancing the digital and the teaching library, and dealing with complex new electronic scholarly formats will drive a review of our organization in FY04. These changing circumstances require that we consider further reallocations of faculty and staff throughout the library system. The goal of the review will be to ensure that we focus valuable human resources on priority areas for excellence which support the University's teaching, research, and outreach missions.

Schedule 3-a

**PROGRAM INITIATIVE/FUNDING PRIORITIES #3  
SUPPORTING INFORMATION  
FY 2004 BUDGET HEARING**

**College/Department: University Libraries**

**INSTRUCTIONS**

Specify personnel, space and facility, equipment, and technology needs related to Priority #3.

<b>NEED CATEGORY</b>	<b>ITEM NEEDED</b>	<b>E &amp; G FUNDED (Yes or No)</b>	<b>JUSTIFICATION</b>	<b>COST</b>	<b>RECURRING/ NON-RECURRING</b>
<b>Personnel</b>		Yes	Faculty Salary Program	\$90,517	Recurring
<b>Personnel</b>		Yes	Staff Salary Program	\$102,378	Recurring
<b>Personnel</b>		Yes	Expand CEP Program	\$8,000	Recurring
<b>Personnel</b>		Yes	Minority Librarians Residency (commitment)	\$40,000	Non-recurring
<b>Personnel</b>		Yes	Archivist for Political Papers (commitment)	\$45,000	Non-recurring
<b>Personnel</b>		Yes	Student Library Assts for Political Papers (commitment)	\$15,000	Non-recurring
		Yes			
<b>TOTALS</b>				<b>\$300,895</b>	

## PROGRAM INITIATIVES/FUNDING PRIORITIES #4

FY 2004 BUDGET HEARING

College/Department: University Libraries

### INSTRUCTIONS

*Describe the College/Department's #4 priority and explain how it promotes the goals and objectives outlined in your budget narrative. On the attached Schedule 4-a, specify personnel, space and facility, equipment and technology needs related to this priority. Discuss opportunities for Internal reallocation and/or reorganization that will support and enhance this Initiative.*

### EXPLANATION

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#### Research Collections and Access to Scholarship

Developing robust scholarly collections and new ways to access information resources is critical to the success of students and faculty. They must continue to have access to the latest scientific developments and new knowledge in all disciplines in order to learn and advance knowledge. Although we are securing as many collections in digital form as possible, scholarship in core disciplines important to the UT are still published in print. Adequate and environmentally sound space is needed to store both regular collections, and valuable special and manuscript collections. The new Howard Baker Center for Public Policy is proving to be a magnet for political papers and we expect to greatly expand this collection requiring an increase in environmentally sound space for its long-term storage.

Reallocation Strategies: The library's approach to collection building and access is based on continuous reallocation of funds to address university, college, and departmental priorities. Where, in the past, collection funds were used primarily for books and journals they now must also address the campus' insatiable appetite for full-text electronic journals, new databases, and scholarship in multimedia formats. Each academic department has a faculty library representative and is asked annually to consider changes in how their subject resources are deployed. The Office of the Provost, the Libraries, School of Information Sciences, the UT Press, and the Association of Distinguished Service Professors sponsored the symposium *Scholarly Publishing & the Common Good* to begin a campus dialogue on how to deal with the rising cost of scholarly publications. A campus-wide task force to address these issues is in the planning stages. No single library can own everything and we engage in extensive collaboration. The University of Tennessee plays a leadership role in Kudzu, a collective catalog of 16 research libraries in the Southeast providing our users with fast access to all materials owned collectively. The library is also a member of the Information Alliance with University of Kentucky and Vanderbilt sharing those resources and collectively building complementary collections in certain areas. Recently the Information Alliance was successful in negotiating a joint license with Kluwer saving each institution about \$25,000. A new collaboration with ORNL is providing additional funds that allow the UT Libraries to purchase 700 additional full-text scientific journals. Through UT's membership in ARL and OCLC the library has access to some of the largest and most comprehensive collections in the world through its state-of-the-art interlibrary loan system. In the coming year extensive analysis of usage data will occur to assist in determining which electronic collections have priority for purchase with scarce collections dollars. Library faculty provide leadership in developing and working to expand the Tennessee Electronic Library, containing databases that are available to all Tennesseans, K-12 teachers and students, and public and academic libraries. UT Libraries also play a leadership role in Tenn-Share, the coordinating agency for Tennessee libraries.

Schedule 4-a

**PROGRAM INITIATIVE/FUNDING PRIORITIES #4  
SUPPORTING INFORMATION  
FY 2004 BUDGET HEARING**

**College/Department: University Libraries**

**INSTRUCTIONS**

Specify personnel, space and facility, equipment, and technology needs related to Priority #4.

<b>NEED CATEGORY</b>	<b>ITEM NEEDED</b>	<b>E &amp; G FUNDED (Yes or No)</b>	<b>JUSTIFICATION</b>	<b>COST</b>	<b>RECURRING/ NON-RECURRING</b>
<b>Equipment</b>	Library Materials	Yes	Match inflation need for collections	\$621,000	Recurring
<b>Equipment</b>	Library Materials	Yes	Enable purchase of materials in new areas of instruction and research	\$250,000	Non-recurring
<b>Equipment</b>	Compact Shelving	Yes	Provide for increased storage needs for collections	\$750,000	Non-recurring
<b>TOTALS</b>				<b>\$1,621,000</b>	

Schedule 5

**DEVELOPMENT CAMPAIGN PRIORITIES**

FY 2004 BUDGET HEARING

College/Department: University Libraries

**INSTRUCTIONS**

*Identify and rank order campaign priorities for the college/department..*

PRIORITY NUMBER	EXPLANATION		ESTIMATED COST	
			Recurring	Nonrecurring
1	Collection endowment		\$8,000,000	
	Sci-Tech	\$2,000,000		
	Humanities	\$2,000,000		
	Social Sciences	\$2,000,000		
	Special Collections	\$1,000,000		
	Multi-media	\$250,000		
	Ctr for Children	\$250,000		
	Preservation	\$250,000		
2	Digital Library Endowment		\$2,000,000	
3	Information Technology Endowment		\$2,000,000	
4	Information Literacy Endowment		\$500,000	
5	Graduate Student Assistantship Endowment		\$1,000,000	
6	Student Assistant Fellowships		\$500,000	
7	Endowed Chair		\$1,500,000	
<b>TOTALS</b>			<b>\$15,500,000</b>	<b>\$</b>

Other Campaign Needs:

Music Library (part of Performing Arts Building Campaign)  
 Hoskins Library \$22,000,000 (should suitable donor be found)

**OTHER PROGRAMMING NEEDS  
FY 2004 BUDGET HEARINGS**

**College/Department: University Libraries**

<u>PERSONNEL NEEDS</u>	<u>ESTIMATED COST</u>	
	Recurring	Nonrecurring
	TOTAL \$	\$
<u>SPACE &amp; FACILITIES NEEDS</u>		
	TOTAL \$	\$
<u>EQUIPMENT NEEDS</u>		
	TOTAL \$	\$
<u>TECHNOLOGY NEEDS</u>		
	TOTAL \$	\$

## UT Libraries Web Site — [www.lib.utk.edu](http://www.lib.utk.edu)

Gateway to information available locally or via the Internet

## AskUs.Now Virtual Reference Service

- Service at place and time convenient to user needs
- Video conferencing for remote reference service to support distance education

## Integrated Library System

- New Online Catalog **2003**
- SFX Citation Linking

## ASERL KUDZU Library Project

Virtual catalog and document delivery from 17 major Southeastern Research Libraries

## UT Digital Library Center Projects **Funded Summer 2001**

Collections under development with partners on the UT Campus

- Flora of Tennessee
- History of Women at UT
- David Van Vactor Music Collection
- Fungal Specimens
- Scholar's Archive—*Journal of Economic Issues*

## Research Support

- Networked Electronic Resources:
  - Full-text Databases
  - Electronic Journals
  - Citation Databases
  - E-books
  - Online Reference Books
  - Aggregator Services
  - Numeric Databases
  - Government Documents
  - Cartographic Materials
  - Subject Guides
- Key player in advocacy for Tennessee Electronic Library
- E-journals listed in the online catalog

## Online Course Reserves for UT Students

- Digitized articles, chapters, and faculty-created study materials **Regular service began Fall 2000**
- Linking to library resources with Blackboard

## The Studio

Digital multimedia laboratory for students and faculty **Fall 2001**

## Digital Media Service **Summer 2001**

- Digitizing service to support online instruction
- Cooperative effort with OIT

## Data Services Department

Provides access to datafiles for secondary analysis

# Digital Initiatives of the University of Tennessee Libraries

## Digitized Collections

- Electronic Theses & Dissertations — [etd.utk.edu](http://etd.utk.edu)
- Southeastern Native American Documents  
Institute of Museum and Library Services project **1999 - 2001**
- Tennessee Documentary History  
Institute of Museum and Library Services project **2002**
- Galston-Busoni Music Collection **1993-1994**

## Information Access and Document Delivery

- ILLiad management system for online requests, reporting, fax delivery **Fall 2000**
- Document delivery via subsidized access to faxed journal articles **Since 1997**
- Online requests for document delivery to campus offices

## Library Instructional Services Online

- Streamed Visual Library Tours
- Tutorials for English, Speech, Music, FYS, Journalism
- Tutorials on Finding Library Books and Journals, Using the Web Catalog, Evaluating Web Sites
- Online Service Guides for Faculty, Grad Students, and the University Honors Program

## UT Song Index

Location information for more than 50,000 songs **Created in 1981, online since 1995**

## Manuscript Finding Aids

Electronic access to unique documents in Special Collections

## Scholarly Communication

- Scholarly communications issues — [www.lib.utk.edu/~colldev/issues.html](http://www.lib.utk.edu/~colldev/issues.html)
- Copyright website for faculty — [www.lib.utk.edu/plan/copyrt/](http://www.lib.utk.edu/plan/copyrt/)
- Campus committee to follow-up on Scholarly Publishing Symposium

## *Selected Benchmarks*

### Recurring funds for collections

#### **Southeastern**

**universities average \$9.6 million**

**UT FY03 \$5.9 million**

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**UT FY03 \$3.7 million short of average**

University of Texas \$11.2 million

University of North Carolina \$10.4 million

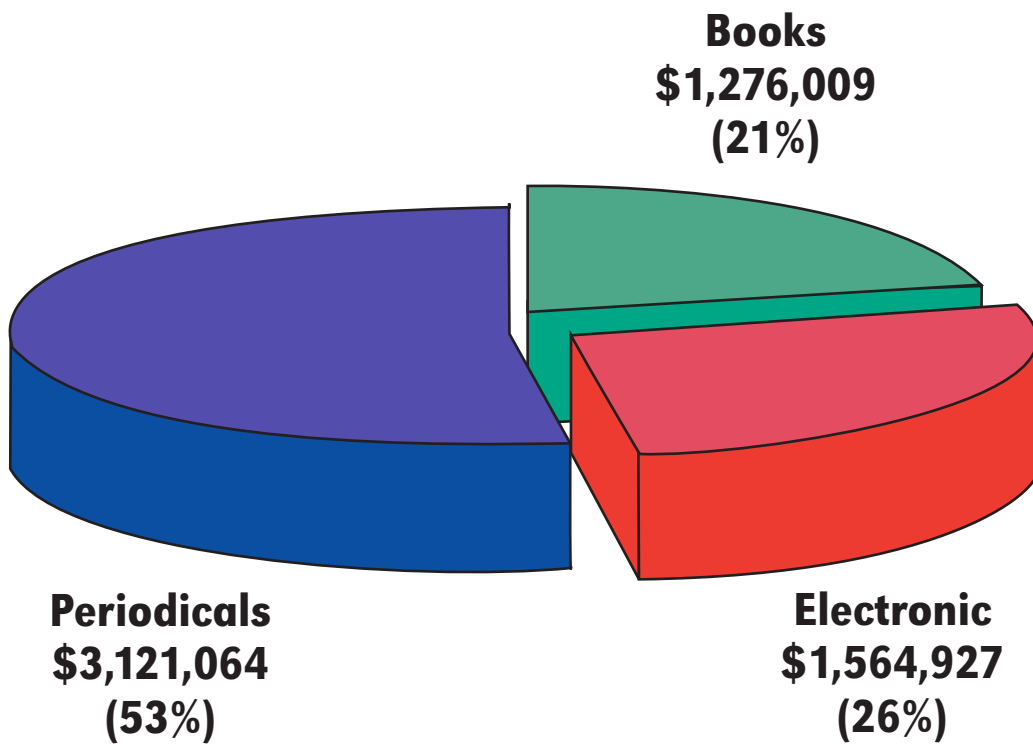
University of Florida \$11.9 million

University of Georgia \$ 9.4 million

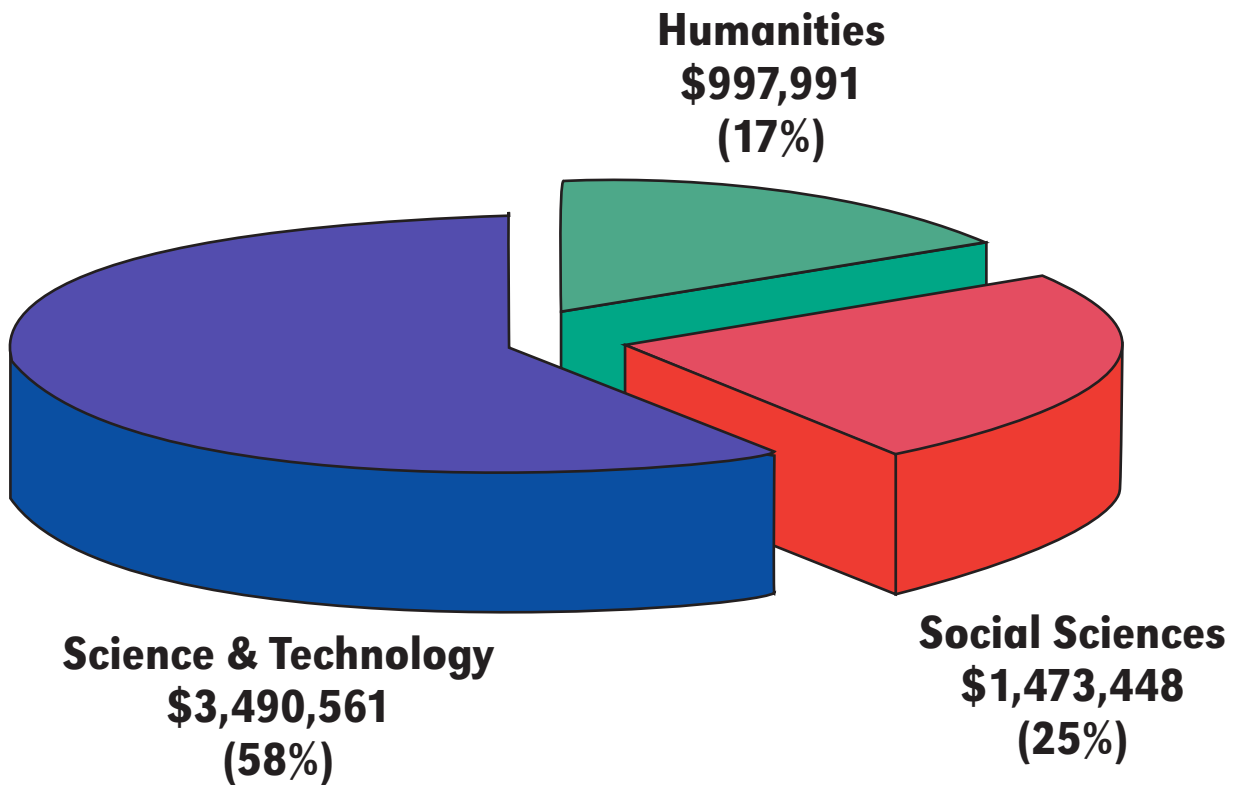
University of Virginia \$ 8.8 million

**University of Tennessee \$ 5.9 million**

*Collection Expenditures*  
**by format, FY03**  
(Total collections budget: \$5,962,000)



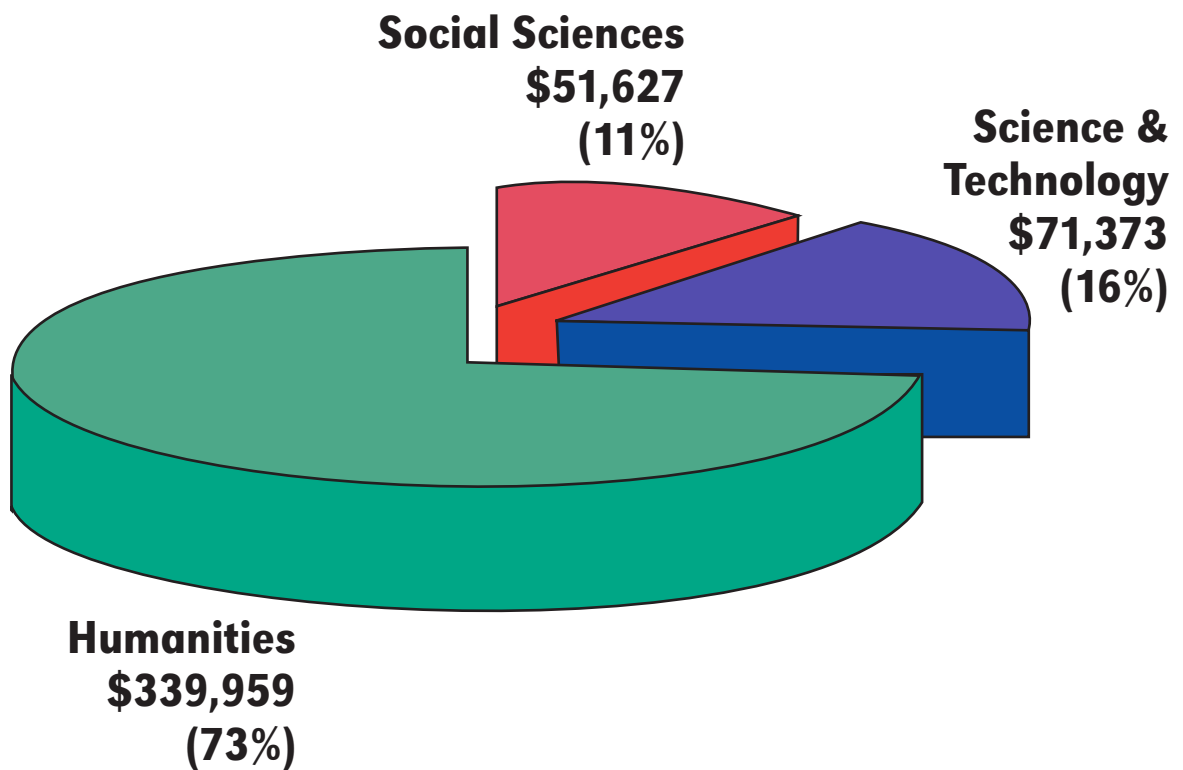
*Collection Expenditures*  
**by discipline, FY03**  
(Total collections budget: \$5,962,000)



# *Collection Endowment Expenditures*

**2001-2002**

**(Total expenditures: \$462,959)**



# UNIVERSITY OF TENNESSEE LIBRARIES

## COLLECTIONS WISH LIST FY04

TITLE	ANNUAL COST	ONE-TIME COST
Access to African American Studies (CIS)	\$7,186	
Access to Presidential Studies	\$7,419	
Access to Women's Studies	\$7,419	
Acta Sanctorum (C-H)	\$3,596	
American Chemical Society Proceedings & Newsletters		
American Civil War: Letters and Diaries	\$3,596	\$32,500
American Film Institute Catalog (C-H)		
American Film Scripts Online	\$3,596	\$32,500
American Humanities Index	\$600	\$1,000
American Periodicals Index	\$3,000	\$53,000
American Periodicals Series Full Text	\$2,500	\$74,448
Animalscience.com		
Annual Register		
Antarctic Bibliography		
Applied Science & Technology Full Text	\$3,507	
Arctic and Antarctic Regions		
ArtSTOR		
Berkeley Electronic Press Journals	\$750	
Bibliography on Cold Regions Science and Technology		
Bibliothèque des Lettres	\$4,800	
Biography Reference Bank	\$2,076	
Biography Resource Center (Gale)		
BioMedCentral	\$6,000	
Black Drama	\$3,596	\$32,500
Brechts Werke	\$2,000	
British and Irish Women's Letters and Diaries	\$3,596	\$32,500
CAB Direct		
Cambridge Scientific Abstracts Internet Database Service		
Celera		\$100,000
CHEMnetBASE-Combined Chemical Dictionary	\$3,995	
CHEMnetBASE-Dictionary of Commonly Cited Compounds	\$995	
CHEMnetBASE-Handbook of Chemistry & Physics	\$1,295	
CHEMnetBASE-Polymers, A Property Database	\$995	
CHEMnetBASE-Properties of Organic Compounds	\$1,495	
Child Abuse, Child Welfare & Adoption		
CIS US Serial Set on Microfiche Parts 11 & 12		\$24,275

TITLE	ANNUAL COST	ONE-TIME COST
Columbia Earthscape	\$5,000	\$995
Comprehensive Clinical Psychology		
CQ Electronic Library	\$9,640	
Current Biography Illustrated	\$636	
Current Protocols		
Current Protocols-Immunology	\$4,000	
Current Protocols-Cytometry	\$4,000	
Current Protocols-Molecular Biology	\$4,000	
Declassified Documents Reports Series, 1998-2000		\$4,001
Design & Applied Arts Index	\$1,290	
Digital Library of Classic Protestant Texts (CPT)		\$64,800
Digital National Security Archive (C-H)	\$4,050	
Digitale Bibliothek Deutscher Klassiker		
Dun & Bradstreet Key Business Ratios	\$732	
Early Encounters in North America; Explorers & Settlers	\$3,596	\$32,500
EIU Web	\$27,600	
EMBASE	\$52,192	
Encyclopedia of Astronomy & Astrophysics	\$500	
Encyclopedia of Organic Reagents	\$935	\$1,735
Encyclopedia of Polymer Science & Technology	\$1,875	
English Short Title Catalogue (B&H; 1700-1800)		
EROS- Encyclopedia of Reagents for Organic Synthesis	\$1,500	
Ethnic Newswatch (Historical Edition)	\$300	\$24,000
German Literature Collections		
Gerritsen Online (Women's History)	\$1,595	\$59,130
Gmelin	\$8,750	
Goethes Werkes (C-H)	\$1,166	
Grolier Online		
Gutenberg-e	\$195	
Harper's Magazine Online, 1850-1900 (C-H)	\$2,000	\$30,000
Health & Safety Science Abstracts (CAB)		
Historical Reader's Guide		
Hoovers Company Snapshots (could be added to ABI subscr)		
IEEE/IEE Electronic Library	\$91,000	
IMF Web-based Statistical Products		
Index to Christian Art (Princeton Univ.)	\$1,000	
Index to English Literary Periodicals		\$9,075
InfoTrac Custom Newspapers (Gale)	\$1,600	
Information Science Abstracts		
Institute of Physics (IOP)	\$550	\$14,000
International Index to Black Periodicals (C-H)	\$2,916	
ISI Web of Knowledge		
Kafkas Werke	\$2,000	
Kiplinger Finance & Forecasts		

TITLE	ANNUAL COST	ONE-TIME COST
Luther's Werke		
Marcel Dekker Journals Online	\$86,853	
MCB University Press/Emerald Journals Online		
McGraw-Hill AccessScience	\$4,869	
MIT Cognet	\$1,795	
Morningstar.com	\$7,628	
Nation (periodical backfiles)		
Nature Journals	\$30,000	
Nature Journals	\$12,000	
netLibrary electronic books		\$620,000
netLibrary Shared Collection III		\$23,222
Newsbank		
North American Immigrant Letters, Diaries, and Oral Hist		
North American Women's Letters and Diaries, Colonial-1950	\$3,596	\$32,500
Nutritiongate.com		
Patty's Encyclopedia of Industrial Hygiene & Toxicology	\$1,125	
Patty's Toxicology	\$1,500	
Periodical Contents Index Current & Backfiles		
Pictures of Record (Univ. of Michigan)	\$3,500	
Policy File (C-H)	\$1,254	
Reference USA	\$15,525	
RIPM		
RLG Cultural Materials	\$5,000	
SAFARI collection of computer-related e-books	\$18,200	
Sage Press Electronic Journals Collection		
Sanborn Maps, 1867-1970	\$350	\$7,140
Schwann Online		
ScienceDirect Backfiles, Non-Subscribed Archive, 1999-02		\$210,000
ScienceDirect Subject Backfiles Archives to volume 1		
Scottish Women Poets of the Romantic Period	\$359	\$1,297
Short Story Index	\$441	
State Capital Universe	\$7,419	
Statistical Universe Research Tables	\$10,316	
Teatro Espanol del Siglo de Oro (C-H)	\$806	
Titlesource (B&T/YBP)	\$2,000	
U.S. Serial Set;fiche collection available ASERL	\$160,000	
Ullman's Encyclopedia of Industrial Chemistry	\$2,250	
Wall Street Journal Historical	\$6,420	
Web of Science Backfiles to 1945		\$136,275
Wiley Encyclopedia of Electrical & Electronics Engineering	\$2,250	
Wiley Online Books in Chemistry, EE, Life/Med Sciences		\$32,030
World Bank		
World of Learning		
<b>TOTAL</b>	<b>\$694,086</b>	<b>\$1,685,423</b>